

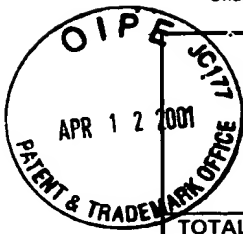
14-A

Sector 16

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/749,555
Filing Date	December 28, 2000
First Named Inventor	Masahiro Ando
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	G5030.0023/P023

TOTAL AMOUNT OF PAYMENT (\$)  
300.00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1073

Deposit Account Name:

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed\*

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims:  \*\* =  x  =

Independent Claims:  \*\* =  x  =

Multiple Dependent:  =

### Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	
102	80	202	40	Claims in excess of 20
104	270	204	135	Independent claims in excess of 3
109	80	209	40	Multiple dependent claim, if not paid
110	18	210	9	** Reissue independent claims over original patent
				** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	130.00
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)  
300.00

## SUBMITTED BY

Name (print/type)	Thomas J. D'Amico	Registration No. (Attorney/Agent)	28,371	Telephone	(202) 828-2232
Signature		Date	April 12, 2001		

\*Check no. 207799 in the amount of \$260.00 for Late filing of Declaration and English-language translation.  
\*Check no. 207803 in the amount of \$40.00 for Assignment Recordation Fee.

Please type a plus sign inside this box



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/749,555		
	<b>Filing Date</b>	December 28, 2000	
	<b>First Named Inventor</b>	Masahiro Ando	
	<b>Group Art Unit</b>	N/A	
	<b>Examiner Name</b>	Not Yet Assigned	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	G5030.0023/P023

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers* (for an Application) <input checked="" type="checkbox"/> Drawing(s) (17 sheets; Figs 1-17) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) <b>Declaration; Power of Attorney or Authorization of Agent; Verified English-language Translation; Submission of Substitute Drawings</b>
<b>Remarks</b>		*Please note that there are two Executed Assignment documents.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual Name</b>	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico Registration No.: 28,371
<b>Signature</b>	
<b>Date</b>	April 12, 2001



Docket No.: G5030.0023/P023  
(PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

In re Patent Application of:  
Masahiro Ando, et al.

Application No.: 09/749,555

Group Art Unit: N/A

Filed: December 28, 2000

Examiner: Not Yet Assigned

For: EXERCISE BODY MONITOR WITH  
FUNCTIONS TO VERIFY INDIVIDUAL  
POLICY HOLDER AND WEAR OF THE  
SAME, AND A BUSINESS MODEL FOR A  
DISCOUNTED INSURANCE PREMIUM  
FOR POLICY HOLDER WEARING THE  
SAME

---

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date  
Granted mailed February 15, 2001, Applicant respectfully submits an Executed Declaration  
and Verified English-language translation.

Our check in the amount of \$260.00 covering the fee set forth in 37 CFR  
1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in  
the fees filed, asserted to be filed or which should have been filed herewith (or with any

paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. G5030.0023/P023. A duplicate copy of this paper is enclosed.

Dated: April 12, 2001

Respectfully submitted,

By 

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 828-2232

Attorneys for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Masahiro Ando, et al.

Application No.: 09/749,555

Group Art Unit: N/A

Filed: December 28, 2000

Examiner: Not Yet Assigned



For: EXERCISE BODY MONITOR WITH  
FUNCTIONS TO VERIFY INDIVIDUAL  
POLICY HOLDER AND WEAR OF THE  
SAME, AND A BUSINESS MODEL FOR A  
DISCOUNTED INSURANCE PREMIUM  
FOR POLICY HOLDER WEARING THE  
SAME

SUBMISSION OF SUBSTITUTE DRAWINGS

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Submitted herewith is one set (seventeen sheets, 17 figures) of substitute drawings for filing in the above-identified Patent application. Kindly replace the enclosed substitute drawings for the drawings submitted with the originally filed application.

Dated: April 12, 2001

Respectfully submitted,

By

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &  
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 828-2232

Attorneys for Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,555	12/28/2000	Masahiro Ando	G5030.0023/P023

CONFIRMATION NO. 5633

## FORMALITIES LETTER



\*OC000000005744827\*

Thomas J. D'Amico  
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
2101 L Street NW  
Washington, DC 20037-1526

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 260.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

04/13/2001 SDENB081 00000052 09749555

01 FC:105 130.00 OP  
02 FC:139 130.00 OP

---

*A copy of this notice MUST be returned with the reply.*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE